1 Reactogenicity and immunogenicity of BNT162b2 in subjects having received a first dose of

2 ChAdOx1S: initial results of a randomised, adaptive, phase 2 trial (CombiVacS)

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59 ABSTRACT

## 60 Background

61 There are no immunological data on SARS-CoV-2 heterologous vaccinations schedules in humans.

- 62 We assessed the immunogenicity and reactogenicity of BNT162b2 (Comirnaty, BioNTech)
- administered as second dose in participants primed with ChAdOx1-S (Vaxzevria, Astra Zeneca).
- 64 Methods
- We did a phase 2, open-label, adaptive, randomised, controlled clinical trial on adults under 60 65 66 years old, vaccinated with a single dose of ChAdOx1-S between 8 and 12 weeks before screening, 67 and no history of SARS-CoV-2 infection (EudraCT No. 2021-001978-37 and NCT04860739). 68 Participants were randomly assigned (2:1) to receive BNT162b2 (0.3 mL, single intramuscular 69 injection) or observation. The primary outcomes were 7-day reactogenicity and 14-day anti-spike 70 IgG response, measured by immunoassays covering SARS-CoV-2 trimeric spike protein and 71 receptor binding domain (RBD). Antibodies functionality and cellular immune response were 72 assessed using a pseudovirus neutralization assay and IFN-gamma immunoassay, respectively.
- 73 Findings
- 74 Between April 24 and April 30, 2021, 676 individuals were randomized (n=450 intervention group, 75 n=226 control group) at 5 sites in Spain, and 663 (441 and 222, respectively) completed the study 76 up to day 14 (mean age 44 [SD 9], 56.5% female). In the intervention group, geometric mean titres 77 (GMT) of IgG-RBD increased from 71.46 BAU/mL (95% CI 59.84-85.33) at baseline to 7756.68 78 (7371.53; 8161.96) at day 14 (p < 0.0001). IgG against trimeric spike-protein increased from 98.4 79 [85.69–112.99] to 3684·87 [3429·87–3958·83]). 100% participants exhibited neutralizing antibodies 80 14 days after BNT162b2 administration, in comparison to 34.1% at enrolment. A 4-fold increase in 81 cellular immune response was also observed. Reactions were predominantly mild (68.3%) or 82 moderate (29.9%), and consisted more frequently on injection site pain (88.2%), induration (35.5%), 83 headache (44·4%) and myalgia (43·3%). No serious adverse events were reported.
- 84 Interpretation
- 85 BNT162b2 given as a second dose in individuals prime vaccinated with ChAdOx1-S induced a
- robust immune response with an acceptable and manageable reactogenicity profile.

- 87 Funding
- 88 Funded by Instituto de Salud Carlos III (ISCIII).

## 90 RESEARCH IN CONTEXT

## 91 Evidence before this study

- 92 Heterologous regimes in Covid-19 has been proposed as an option to best elicit combined antibody
- 93 and cellular responses resulting in stronger, broader and/or longer-lasting immunity. However, no
- 94 clinical evidences exist so far.

# 95 Added value of this study

- 96 This is the first study evaluating the immune and cellular response to a heterologous vaccination
- 97 strategy against SARS-Cov-2. Administration of a dose of BNT162b2 vaccine after a first dose of
- 98 ChAdOx1S provides a strong immune humoral and cellular response.
- 99 Implications of all the available evidence
- 100 This study confirms preclinical studies and suggestions anticipating that heterologous vaccination
- 101 regimen could provide elicit potent combined antibody and cellular responses and pave the way for
- 102 mix-and-match COVID-19 vaccines development and warrant future studies evaluating this
- 103 strategy.

#### 105 INTRODUCTION

106

107 an unprecedented research effort globally to find curative and/or prophylactic therapies. As a result, 108 thousands of COVID-19-related clinical trials have been registered and hundreds of vaccine 109 candidates started testing in record time.<sup>1</sup> Indeed, active immunization has become the cornerstone 110 of global healthcare policies against COVID-19. To date, four vaccines have been granted a 111 conditional marketing authorization by the European Commission: mRNA vaccine BNT162b2 112 (Comirnaty, BioNTech), mRNA vaccine CX-024414 (Moderna), adenovirus vaccine ChAdOx1-S (Vaxzevria, AstraZeneca) and adenovirus vaccine Ad26.Cov2.S (Janssen-Cilag International NV). 113 Both mRNA vaccines and ChAdOx1-S are used based on homologous regimes.<sup>2</sup> As an alternative, 114 115 the possibility of sequentially administering different SARS-CoV-2 vaccines, known as heterologous 116 schedules, could be an opportunity to make vaccination programs more flexible and reliable in the 117 face of supply fluctuations. In addition, these schemes are also being studied to identify the best 118 option for the administration of third or successive booster doses.

The dramatic impact of COVID-19 on healthcare systems and economies over the world has driven

119 The decisive factor in generating interest in this type of schedules was the appearance of rare but 120 severe thrombotic with thrombocytopenia events in subject vaccinated with ChAdOx1-S. As these 121 uncommon side effects were more frequent in young people, health authorities of several European 122 countries<sup>3</sup> and Canada, among others, modified their national strategies reserving ChAdOx1-S 123 vaccine for older groups of subjects. Consequently, some countries including Sweden, France, 124 Germany, Norway and Denmark advised for administering a second dose with BNT162b2 vaccine 125 in people primed with ChAdOx1-S, even without supporting data regarding reactogenicity or 126 immunogenicity of this schedule. Obviously, heterologous approaches were not novel as they have been previously used in multiple HIV vaccines under development,<sup>2</sup> in the recently authorized Ebola 127 128 vaccine<sup>4,5</sup> and it is also one of the current strategies to obtain a universal influenza vaccine.<sup>6,7</sup> 129 Regarding SARS-CoV-2, Spencer et al had recently evidenced immunological advantages using 130 heterologous vaccination regimens in animal models (21) which concurs with the clinical efficacy 131 showed by the heterologous vaccine Gam-COVID-Vac (Sputnik V, Gamaleya National Research Centre for Epidemiology and Microbiology [NRCEM]).<sup>8</sup> Regarding safety, Shaw et al published initial 132

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133 data from the Com-Cov trial evidencing limited and short-lived reactogenicity when heterologous

134 schedules were used in humans.<sup>3</sup> Unfortunately, no evidence of immunogenicity outcomes in

135 humans with heterologous vaccination strategies are available to date. To answer this fundamental

136 question, we designed a phase 2 randomised controlled trial to evaluate immunogenicity and

137 reactogenicity of second dose of a mRNA COVID19 vaccine BNT162b2 in subjects prime

138 vaccinated with ChAdOx1-S. Here, we present reactogenicity and immunogenicity at 14-day cut-off.

139 METHODS

## 140 Trial design and participants

141 The study CombiVacS is a phase 2, non-blinded, adaptive, randomized, controlled, multicentre, 142 clinical trial design being done at five centres in Spain (University Hospital de Cruces, Vizcaya; 143 University Hospital Vall d'Hebron, Barcelona; University Hospital Clinic de Barcelona, Barcelona; 144 University Hospital Clínico San Carlos, Madrid; and University Hospital La Paz, Madrid). 145 An adaptive design was decided to allow flexibility if primary analysis at 14 days confirmed the 146 starting hypothesis, namely immunogenicity after BNT162b2 dose is superior to no vaccination in 147 ChAdOx1-S-primed patients. Participants were healthy, or clinically stable, adults (aged ≥18 and 148 ≤60) who had received a prime ChAdOx1-S vaccination between 8 and 12 weeks before the 149 screening visit. Patients with documented COVID19 or vaccinated with any other vaccine since the 150 prime dose were excluded. A SARS-CoV-2 RT-PCR test was performed at the randomization visit, 151 and blood samples were collected to determine baseline SARS-CoV-2 serological status. Additional 152 key exclusion criteria were the presence of clinically significant acute illness or temperature  $\geq$  38°C 153 within 24 hours prior to the planned dose of study vaccine, clinical manifestations compatible with 154 COVID-19 and any condition contraindicating or discouraging BNT162b2 administration, including 155 pregnancy. Full details of the eligibility criteria are described in the trial protocol provided in the 156 appendix 1.

All the participants provided written informed consent before enrolment. The trial complies with the principles of the Declaration of Helsinki and Good Clinical Practice. This study was approved by the Spanish Agency of Medicines and Healthcare Products (AEMPS) and by the Ethics Committee at University Hospital La Paz.

#### 161 Randomisation and masking

162 Participants were randomly assigned (2:1) to receive one intramuscular injection of BNT162b2 163 (interventional group) or maintain observation (control group). Subjects assigned to the 164 interventional group were vaccinated by healthcare personnel who were aware of trial-group 165 assignments but were not otherwise involved with other trial procedures or data collection. If the 166 main immunogenicity objective is met, and always under the perspective of acceptable 167 reactogenicity, participants included in the control group would be offered to receive BNT162b2 as a 168 second dose at day 28. Alternatively, ChAdOx1-S may be used as a second dose in the control 169 group if requested by the participant or established by local health authorities. The randomization 170 list was centrally generated with the SAS software for Windows (version 9.4; SAS Institute Inc., 171 Cary, NC, USA); systematic randomisation stratified by study site, gender and age (18-49 years, 172 and 50-59 years) was used to achieve balanced randomization in the two treatment groups. The 173 randomization list was imported into the secure Research Electronic Data Capture platform 174 (REDCap version 8.7.4; Vanderbilt University, Nashville, TN, USA) used for the study electronic 175 case report form (eCRF).

176 **Procedures** 

177 The BNT162b2 vaccine used in this trial is available in Europe after a conditional marketing 178 authorization was granted by the European Medicines Agency (EMA) in December 2020. 179 BNT162b2 was administered at the approved dose of 0.3 mL as a single intramuscular injection. 180 All participants were RT-PCR tested for SARS-CoV-2 infection, clinically assessed and had blood 181 samples drawn for safety as well as immunology at day 0 (randomisation, BNT162b2 dose 182 administration). Follow-up visits on days 7 and 14 were scheduled to measure vital signs, review 183 any adverse events, update medical and medication records and collect blood samples. Participants 184 will also be followed-up at days 28, 90 (month 3), 180 (month 6) and 360 (month 12). 185 Participants in the interventional group were observed on site for at least 15 minutes after 186 BNT162b2 vaccination for safety monitoring. Any adverse events occurred up to the end of the 187 observation period were recorded. Participants in both groups were asked to record any adverse 188 events using an electronic diary throughout the follow-up period. Participant uploaded events were

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189 accessible online through the electronic diary, which emailed an automatic alert to the investigator 190 when the adverse event was reported as severe by the participant. In all these cases, the 191 investigator contacted the participant to assess seriousness. At the present cut-off, participants 192 were inquired about both solicited and unsolicited adverse events up to day 7 as well as unsolicited 193 adverse events up to day 14. Intensity of adverse events was graded according to a 4-grade scale: 194 grade 1 (mild), grade 2 (moderate), grade 3 (severe), and grade 4 (life-threatening). Causality of 195 unsolicited adverse events was defined as related or not related to study treatment based on 196 reasonable possibility, temporal relationship and alternate aetiology criteria, and was assessed in 197 reported unsolicited adverse events. Full description of safety definitions and a list of solicited 198 adverse events are provided in the trial protocol supplied as appendix 1.

199 Antigen-specific humoral immune response was analysed using two commercial immunoassays 200 and one pseudovirus neutralization assay. The Elecsys® Anti-SARS-CoV-2 S assay (Roche 201 Diagnostics GmbH, Mannheim, Germany) is an electrochemiluminescence immunoassay (ECLIA) 202 detecting IgG antibodies to the SARS-CoV-2 spike protein receptor binding domain (RBD) on the cobas e411 module.<sup>9</sup> According to the manufacturer, the measuring range spanned from 0.4 U/mL 203 204 to 250 U/ml (up to 2,500 U/ml with on-board 1:10 dilution and up on 12,500 with on-board 1:50 205 dilution). Values higher than 0.8 BAU/mL were considered positive. Correlation between U/ml and 206 BAU (International OMS standard) is U=0.972 BAU. The LIAISON® SARS-CoV-2 TrimericS IgG 207 assay (DiaSorin Inc., Stillwater, USA) is a chemiluminescence immunoassay (CLIA), detecting IgG 208 antibodies anti-trimeric spike glycoprotein of SARS-CoV-2 in human serum or plasma samples on 209 the LIAISON® XL.<sup>10</sup> Measuring range spanned from 4.81 BAU/mL to 2,080.00 BAU/mL. According 210 to the manufacturer, values > 2,080.00 BAU/mL were diluted 1:20 and values higher than 33.8 211 BAU/mL were considered positive. To measure neutralizing antibodies titres, dilutions of 212 participants' plasma samples were pre-incubated with pseudoviruses generated by co-transfection 213 of pNL4-3∆envRen and an expression vector for the viral spike (pcDNA3.1-S-CoV2∆19-G614) and 214 added at a concentration of 10ng p24Gag/well to Vero E6 cells in 96-well plates. At 48 hours post-215 infection, viral infectivity was assessed by measuring luciferase activity (Renilla Luciferase Assay, 216 Promega) using a 96-well plate luminometer "LB 960 Centro XS<sup>3</sup>" (Berthold). The titre of

217 neutralizing antibodies was calculated as 50% inhibitory dose (neutralizing titre 50, NT50), 218 expressed as reciprocal of four-fold serial dilution of heat-inactivated sera (range 1:32 – 131.072) 219 resulting in a 50% reduction of pseudovirus infection compared to control without serum. Samples 220 below the detection threshold (1:32 serum dilution) were given 1:16 value. Positive and negative 221 controls were included in the assay and non-specific neutralization was assessed using a non-222 related pseudovirus expressing the Vesicular Stomatitis Virus envelope. Cellular immune response 223 was measured by quantification of IFN-gamma present in plasma upon overnight stimulation of 224 whole blood with pools of SARS-CoV-2 peptides (S; 2 µg/ml) or DMSO control in whole blood 225 culture. This methodological approach requires only 1 ml of blood, which facilitates longitudinal tests in a large cohort of individuals, allowing the rapid quantification of SARS-CoV-2-specific T cells in 226 vaccine recipients.<sup>11,12</sup> Cytokines were quantified using Ella (ProteinSimple, San Jose, California). 227 228 Neutralizing antibodies were planned to be analyzed in 200 participants randomly selected from the 229 full sample included, while cellular immune response was analysed in participants from two study 230 sites (University Hospital Clínico San Carlos, and University Hospital La Paz). Full details on the 231 pseudovirus neutralising assay and cellular immunity quantification are provided in the appendix 1 232 (pp 14).

#### 233 Outcomes

234 The primary outcomes were reactogenicity and immunological response to vaccination as per 235 antibodies against SARS-CoV-2 spike protein titres measured by immunoassay 14 days after the 236 BNT162b2 dose. A secondary immunogenicity outcome measure was neutralizing antibodies titres 237 measured by virus neutralization assay at day 14. 1-year safety was also planned to be assessed. 238 Two exploratory outcomes were included: a) the relationship between neutralizing antibodies and 239 antibodies against SARS-CoV-2 spike protein measured by immunoassay, and b) cellular response 240 to vaccination defined as inflammatory IFN-gamma cytokine production against SARS-CoV-2 spike 241 peptide pools at day 14. Another secondary and exploratory immunogenicity and efficacy outcomes 242 - planned at 28, 90, 180 or 360 days - are not applicable to the present analysis but are also 243 detailed in the protocol provided in the appendix 1.

## 244 Statistical Analysis

245 The immunogenicity analysis population included all the participants who were randomized, 246 completed all visits and for whom serological samples were available both on day 14 and at the 247 baseline visit. Data was presented as geometric mean and 95% confidence interval (95% CI) or, for 248 categorical variables, number and percentage, unless otherwise stated. Antibodies titres against 249 SARS-CoV2 spike protein at 14 days was the response variable and treatment effect was evaluated 250 comparing those titres between interventional versus control group. Additional post-treatment 251 ANCOVA adjusting for pre-treatment was performed, with baseline immunity value, age, and sex as 252 co-variable. The primary and secondary laboratory objectives were described using geometric 253 means and difference at each time, basal, 7 (only for serologic determinations) and 14 days, was 254 evaluated with ratio of geometric means. Additionally, reverse cumulative distribution curve was 255 plotted. A subgroup analysis by sex, and age groups was performed at each time, baseline and 14 256 days, for the primary and secondary endpoints. Laboratory parameter with value below detection 257 limit were replaced by a value equal to the lowest limit divided by 2. All analyses were carried out 258 using the statistical software SAS, version 9.4 of the SAS system for Windows (SAS Institute Inc., 259 Cary, NC, USA). All analyses were carried out using the statistical software SAS, version 9.4 of the 260 SAS system for Windows (SAS Institute Inc., Cary, NC, USA). The reactogenicity analysis 261 population included all the participants who had received at least one dose of BNT162b2 in the 262 interventional group regardless the availability of data for primary endpoint analysis. Reactogenicity 263 analyses were presented as numbers and percentages of participants who had suffered local and 264 systemic adverse events during 7 consecutive days after each vaccination. Sample size calculation 265 for a log-transformed outcome measure<sup>13</sup> was performed to assess the humoral immune response 266 against SARS-CoV-2 14 days after dose of BNT162b2 in subjects that received a prior single dose 267 of ChAdOx1-S, as compared with no dosing. A sample size of 600 participants (400 in the 268 interventional group) was required to identify a 35% of increase in antibodies titres in subjects 269 receiving the dose of BNT162b2, G(Y1), in relation with those not receiving it, G(Y2) at 14 days, 270 assuming a coefficient of variation equal to 1.2 or 1.0 and similar between arms, at least 80% power 271 and a one-sided 1% significance level (H1: G(Y1)/G(Y2) >1). A low value alpha, 0.01, was used for 272 the one-sided hypothesis to avoid a type I error, especially when the evaluation will be replicated at

273 other specific times. The sample size was increased by 15% due to possible no-participation. This

study is registered at EudraCT (No. 2021-001978-37) and ClinicalTrials.gov (NCT04860739).

## 275 Role of the funding source

The funder – Institute of Health Carlos III, or ISCIII – designed the trial in cooperation with the
Spanish Clinical Trials Platform (SCReN), a public network of clinical trials unit at the Spanish
National Health System funded by the ISCIII through PTC20/00018 and PT17/0017 Trial
coordination, patient recruitment and data analysis has been performed by SCReN. All
immunological procedures were performed at ISCIII. All authors review and approve the original
draft. All authors had full access to the full data in the study and accept responsibility to submit for
publication.

#### 283 RESULTS

284 Between April 24 and April 30, 2021, 676 patients were enrolled into the study and randomly 285 assigned to receive BNT162b2 vaccine (n=450) or no vaccine (n=226) but 2 and 1 individuals 286 withdrew consent before vaccination and were discontinued in experimental and control group, 287 respectively. A total of 663 participants were included in the immunogenicity analyses, after 7 288 participants from the vaccine group and 3 from the control group were excluded (figure 1). 448 289 participants who received the second dose were included in the reactogenicity population, including 290 1 from the control group who was erroneously vaccinated. One individual was excluded due to lost 291 to follow-up after receiving the BNT162b2 dose.

Demographics and baseline characteristics (table 1) were balanced between the two study groups, 382 (56.5%) participants were female, 437 (64.6%) participants were within 18-49 age group and the mean age was 43.98 (SD 8.85). Time elapsed since ChAdOx1-S administration was between 8 and 9 weeks for 411 participants (60.8%) and between 10 and 12 weeks for 263 participants (38.9%).

In the interventional group, geometric mean titres (GMT) of IgG specific to the SARS-CoV-2 RBD at
day 14 were significantly (p<0.0001) higher in the interventional group (7756.68 BAU/mL, 95% CI</li>
7371.53;8161.96) vs. the control group (99.84 BAU/mL, 95% CI 76.93;129.59). Immunogenic
response in the interventional group was observed as soon as day 7 (4353.51 BAU/mL, 95% CI

301 3851.58-4920.85 [interventional group] vs. 90.05 BAU/mL, 95% CI 69.16-117.27 [control group]; p 302 < 0.0001) (figure 2a; appendix 1 pp 2). When antibodies against SARS-CoV-2 spike protein were measured by a CLIA technique covering the trimeric spike protein, 14-day immunogenic response in 303 304 the interventional group was also confirmed as statistically significant (3684.87 BAU/mL, 95% CI 305 3429.87-3958.83 [interventional group] vs. 101.2 BAU/mL, 95% CI 82.45-124.22 [control group]; p < 0.0001), which meant a 37-fold increase from baseline. Likewise, titres of antibodies at day 7 306 307 were significantly higher in the interventional group (2246.25 BAU/mL, 95% CI 2010.4-2509.78 308 [interventional group] vs. 102·25 BAU/mL, 95% CI 83·52-125·18 [control group]; p < 0.0001) (figure 309 2b; appendix 1 pp 2). Reverse cumulative distribution curves for RBD- and trimeric- S protein 310 antibodies are shown in appendix 1 (pp 3-4). Titres of antibodies measured by both techniques 311 showed strong positive correlation ( $R^2=0.85$ ; p<0.001) (appendix 1 pp 5). Subgroup analysis 312 evidenced that immunological response was numerically lower in males but no differences were 313 evidenced by age subgroups (Appendix 1 pp 6-7).

314 The functional capability of the antibodies induced in the interventional group were analysed in 198 315 participants randomly selected (129 from the interventional group and 69 from the control group). In 316 the interventional group, 74.4% participants showed no or very low neutralizing activity at day 0, 317 whereas 100% exhibited neutralizing antibodies at day 14, showing high (NT50 >1:300/<1:1000) or 318 very high (NT50 >1:1000) activity in 99.7% of them (appendix 1 pp 8). At day 14, GMT of 319 neutralizing antibodies increased 45-fold from 41.84 (95% CI 31.28-55.96) to 1905.69 320 (95%Cl1625.65; 2233.98) in the interventional group, compared to 41.81 (95% Cl 27.18;64.32) 321 present at day 14 in the control group (p<0.0001). GMT of neutralizing antibodies in controls was 322 not significantly different from baseline (GMT 50.84, 95%CI 33.56-76.99) (figure 3a; appendix 1 pp 323 9). Reverse cumulative distribution curves for neutralizing antibodies are shown in appendix 1 (pp 324 10). Neutralizing antibody responses had a strong positive correlation with RBD antibody titres 325  $(R^2=0.82; p<0.001)$  (figure 3b).

Dynamic changes of functional spike-specific T cell response were analysed in 151 participants
 (n=99 [interventional group] and n=52 [control group]). Results revealed substantial levels of IFN gamma production at day 0 (geometric mean 129.63 pg/mL, 95% CI 103.51-162.35 [interventional

group]; and 151·63 pg/mL, 95% CI 114·09-201·53 [control group]), consistent with a prior
immunization with a single dose of ChAdOx1-S. On day 14, the production of IFN-gamma had
significantly increased in the interventional group (geometric mean 521·22 pg/mL, 95% CI
422·44;643·09; p<0·0001) in comparison with the control group (122·67 pg/mL, 95% CI</li>
88·55;169·95; p<0·0001) that remain unchanged. Reverse cumulative distribution curves for</li>
immunological response are shown in appendix 1 (pp 11).

335 Reactogenicity analysis was based on solicited adverse events in 448 individuals from the

intervention group evidencing headache (194; 44·4%), myalgia (194; 43·3%) and malaise (187;

43·3%) as the most commonly reported systemic reactions. Other systemic adverse reactions,

including fever (2.5%) were less common and shown in appendix 1 (pp 12). As expected, injection

site pain (395;  $88 \cdot 2\%$ ), induration (159;  $35 \cdot 5\%$ ) and erythema (139; 31%) were the most commonly

340 reported local reactions. Other local adverse reactions were less common and shown in appendix 1

341 (pp 12). In general, local and systemic reactions were most frequently reported by female

participants. No differences in frequency were observed by age groups (appendix 1 pp 13). Solicited

343 adverse events in the 7 days following vaccination in the interventional group were predominantly

mild (68·3%) and moderate (29·9%), and self-limited. Importantly, only 1.75% of the adverse events

345 were self-reported as severe. Within this category, the most frequent symptoms were malaise

346 (22.5%), myalgia (19.3%) and headache (16.1%). All these subjects were contacted and

347 subsequently evaluated by investigators, who did not report any serious adverse events. The

348 severity of solicited local and systemic reactions was highest on day 2 after vaccination (figure 5).

349 **DISCUSSION** 

This is the first report evidencing that a SARS-CoV-2 heterologous vaccination schedule induces a strong immune response in humans and is associated to an acceptable and manageable reactogenicity profile. Our approach is based on BNT162b2 given as a second dose 8-12 weeks after a first dose of ChAdOx1-S and the potent immune response was confirmed using four different tests.

Although our conclusions should be restricted to this scenario keeping in mind the absence of a
 homologous vaccination arm, comparison with previously reported immunogenicity data may help to

357 put in context the results of the study. This indirect comparison suggests that the intensity of the 358 immune response with the heterologous vaccination schedule used in this study is higher than 359 those previously reported by other authors using homologous schedules. According to previous 360 data coming from the Oxford COVID Vaccine Trial Group, after a second dose of ChAdOx1-S humoral response is associated with a 10-fold increase of anti SARS-CoV-2 spike protein IgG 361 standardised ELISA titres.<sup>14,15</sup> On the other hand, in phase I/II BNT162b2 trials<sup>16</sup> RBD-binding 362 363 antibodies also increased 10-fold after the second dose of BNT162b2 vaccine in comparison with 364 first dose (from 1,536 U/ml to 16,166 U/ml) whereas neutralizing antibody titres raised from 29 to 437 (15-fold). In phase I/II CX-024414 trials,<sup>17</sup> in the 100 μg group, antibodies against the RBD 365 366 raised 6-fold two weeks after the second vaccine dose (from 93,281 to 558,905). In our study 367 heterologous second vaccination with BNT162b2 induced a 108/37-fold increase in IgG against 368 RBD and trimeric spike protein, respectively. Although these effects could come from the different 369 techniques to measure SARS-CoV-2 IgG employed in these studies, the strong positive correlation 370 observed between the two IgG CLIA/ECLIA methods and the pseudovirus neutralization assay employed in the present work ensure the robustness of the measures and suggest a potential 371 372 advantage of the heterologous over the homologous vaccination strategies. In this regard, it is very 373 important to note that in our study immunogenicity response explored by spike protein-binding 374 antibodies titres was in a similar incremental ratio between baseline and day 14 (37- and 108-fold) 375 to the immunological response evidenced by neutralizing antibodies titres (40-fold). The 376 proportionality between the increase in anti-RBD, anti-trimericS and neutralizing antibodies from our study agrees with the published data for BNT162b2<sup>16</sup> but are guite different to that reported in the 377 378 public assessment report of ChAdOx1-S wherein the bright increase in anti-spike titres after a homologous boost was associated with a very modest increase in neutralizing antibodies titres.<sup>18</sup> 379 380 Therefore, the sequential use of ChAdOx1-S and BNT162b2 may be the explanation to our findings. 381 Besides, the time elapsed between the first and second dose probably have played a relevant role, 382 since our participants received the second dose of vaccine a minimum of 50 days after the first dose. In this regard, two studies<sup>14,15</sup> and a pooled analysis of four randomised trials from the Oxford 383 COVID Vaccine Trial Group<sup>19</sup> evidenced that the longer interval before the ChAdOx1-S second 384

dose administration, the higher SARS-CoV-2 IgG spike specific response. This effect was more
 evident in individuals younger than 55 years old using ChAdOx1-S but also described in people
 aged over 80 years vaccinated under an extended interval between two doses of BNT162b2.<sup>20</sup>
 Consequently, our study design could have maximized the effect of the interval between the two
 doses.

We also found that neutralizing activity as determined using a pseudovirus assay was strongly 390 increased after BNT162b2 immunization. In fact, deployment a neutralizing capacity after our 391 392 heterologous regimen was not due to a minority of subjects as 14 days after intervention NT50 was 393 above 1.000 in 75.2% of subjects and overall 97.7% of all subjects increased NT50 value above 394 1:300. Because our study did not include an arm immunized with a second ChAdOx1-S dose it is 395 not possible to compare both strategies. However, neutralization assays using pseudoviruses are quite similar across our study and ChAdOx1-S trials,<sup>14,15,19</sup> allowing some comparisons. In this 396 397 regard, in ChAdOx1-S trials neutralization titres 28 days after vaccination with first dose were 398 between 40 and 162 (expressed as median), and increased 3- to 6-fold (NT50 between 237 and 399 451) after a second dose of ChAdOx1-S. In our study, patients were included between 8 and 12 400 weeks after first ChAdOx1-S dose and basal levels were in the 40-50 (expressed as geometric 401 mean) range in both control and intervention groups, which is very similar to basal data 56 days after priming with ChAdOx1-S.<sup>12</sup> After BNT162b2 immunization NT50 raised to 1,950 (45-fold 402 403 increase) confirming a strong immunogenicity and the induction of strong humoral responses and 404 neutralization titres with the heterologous vaccination regimen proposed. Of note, a recent study 405 has reported that neutralization level is highly predictive of immune protection and suggest that 406 neutralization titre will be an important predictor of vaccine efficacy in the future as new vaccines emerge.<sup>21</sup> 407

In addition, our results indicate that the use of BNT162b2 as a second dose in a heterologous scheme increases the cellular immunity responses obtained after the initial dose of ChAdOx1-S. This enhancer effect is very interesting since second doses of ChAdOx1-S in homologous schedules have failed to demonstrate an improvement in the cellular response obtained after an initial dose,<sup>14,15,22</sup> suggesting that cellular response is maintained during time irrespective of vaccination interval, age and gender following a two-dose homologous vaccination strategy with ChAdOx1. On the contrary,
the enhancer effect of the second dose on the cellular immune response has been described in the
limited data available with homologous mRNA vaccine schedules.<sup>23-25</sup>

416 Regarding reactogenicity, solicited adverse events profile in CombiVacS is similar to those showed after homologous vaccination with ChAdOx1-S<sup>14</sup> or BNT162b2;<sup>26</sup> and those recently communicated 417 in a cohort of healthcare workers in Germany.<sup>27</sup> However, our findings differ from those reported by 418 Shaw and the Com-COV Study Group.<sup>3</sup> Shaw and colleagues<sup>3</sup> describes an increase in systemic 419 420 reactogenicity after the boost dose reported by participants in heterologous vaccine schedules in comparison to homologous vaccine schedules, particularly in a self-reported feeling of feverishness. 421 422 In contrast, although participants in our study were younger (mean 44 years old), results showed a 423 lower frequency of reactogenicity events, which was unexpected and may be explained, at least in 424 part, by different administration interval between both studies (28 day in Shaw and colleagues vs. 8-12 weeks in ours). Notwithstanding this, comparisons must be cautious due to differences between 425 426 both studies. Apart from this limitation, the lack of an active control arm does not allow us direct 427 comparisons with reactogenicity elicited by homologous ChAdOx1-S/ ChAdOx1-S vaccination.

Finally, in figures 2a, 2b and 3a the presence of individuals with elevated antibody titres at the time of randomization is evident. In the event that we can rule out individual variability as a cause of these titres, we would have to hypothesize the participation of individuals who had been inadvertently infected at some time prior to the start of the trial. In that case, the titres obtained in these individuals would depend directly on a heterologous combination of antigens as they have been exposed to wildtype SARS-CoV-2 and ChAdOx1-S, which would confirm our findings. However, this is a hypothesis to be assayed in the population of our study.

In summary, our study confirms a robust humoral and cellular immune response after a second
dose of BNT162b2 in individuals previously primed with ChAdOx1-S between 8 and 12 weeks
before. Future studies comparing homologous versus heterologous vaccination schedules will help
to confirm and better understand the humoral and cellular immune responses observed in this
clinical trial.

## 441 **Contributors**

- 442 Trial conceptualization was performed by CB, AMB, AJC, JA and JF. AJC, IF and AA developed the 443 study methodology. AMB, MPO, LC, MJB, JGC, MC, AP, MGP, EAA, MAN, FDF, AA, NIA, LBM, CP
- 444 and JO were study investigators. MTGM, DL and AGC contributed to ensure data accuracy. AMB,
- 445 AJC, MPO, DL, AGC, JO, JA and JF were responsible for statistical analysis. CB, AMB, MPO, LC,
- 446 MC, MJB, AP, JO, JA and JF contributed to study supervision. CB was responsible for funding
- 447 acquisition. CB, AMB, AJC, MPO, JO, JA and JF contributed to write the original draft and all
- 448 authors contributed to the manuscript review and editing.
- 449

# 450 **Declaration of interests**

- 451 CB is the Deputy General Manager of the ISCIII. JRA has received fees from Janssen, outside of
- 452 the submitted work. AMB is principal investigator of clinical trials sponsored by GSK, Daiichi-
- 453 Sankyo, Janssen and Farmalider, outside of the submitted work. The other authors declare no
- 454 competing interests
- 455

# 456 Data sharing

- The study protocol and the statistical analysis plan are provided in the appendix 1 (pp16 et seq.). Individual participant data will be made available when the trial is complete, upon requests directed to the corresponding authors; after approval of a proposal, data can be shared through a secure online platform.
- 461

### 462 Acknowledgements

This work is funded and sponsored by the Institute of Health Carlos III (Instituto de Salud Carlos III or ISCIII), a Spanish public body assigned to the Ministry of Science and Innovation that manage and promote public clinical research related to public health. The Spanish Clinical Trials Platform (SCReN) is a public network funded by the ISCIII, PT20, funded by the State Plan for R&D&I 2013-2016, by the State Plan for Scientific and Technical Research and Innovation 2017-2020, and by the Subdirectorate General for Evaluation and Promotion of Research – ISCIII, co-financed with 469 FEDER funds. The study CombiVacS has been designed under the umbrella of the 470 VACCELERATE Project, the European Corona Vaccine Trial Accelerator Platform, aimed to 471 facilitate and accelerate the design and implementation of COVID-19 phase 2 and phase 3 vaccine 472 trials. VACCELERATE has received funding from the European Union's Horizon 2020 Research 473 and Innovation Programme under grant agreement No. 101037867. The Health Institute Carlos III 474 (ISCIII) is the Spanish partner in the VACCELERATE Project. The authors express their gratitude 475 for the contribution of all the trial participants, the invaluable advice of the international Data Safety 476 Monitoring Board and the independent members of the Trial Steering Committee (appendix 1 pp 477 16–18). The authors thank Esther Prieto, MD for editorial assistance and writing support. Finally, all 478 contributors thank Raquel Yotti, MD, PhD for her thorough, critical review of the manuscript and her 479 contributions to the overall conceptualization of the clinical trial.

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	Interventional group	Control group	Overall
	(n=450)	(n= 226)	(n=676)
Sex			
Male	193 (42·9%)	101 (44·7%)	294 (43.5%)
Female	257 (57·1%)	125 (55·3%)	382 (56·5%)
Age (years)	43.93 (8.88)	44.10 (8.82)	43.98 (8.85)
Age group		0	
18-49 years	293 (65·1%)	144 (63·7%)	437 (64·6%)
Male	123 (27·3%)	65 (28·8%)	188 (27·8%)
Female	170 (37.8%)	79 (34.9%)	249 (36·8%)
50-59 years	157 (34·9%)	82 (36·3%)	239 (35·3%)
Male	70 (15.5%)	36 (15·9%)	106 (15·7%)
Female	87 (19·3%)	46 (20·3%)	133 (19·7%)
Time since prime			
ChAdOx1-S vaccination*			
8-9 weeks	273 (60.7%)	138 (61·1%)	411 (60·8%)
10-12 weeks	176 (39·1%)	87 (38.5%)	263 (38·9%)

# Table 1. Baseline characteristics of the randomized population

Data are n (%) and mean (SD). \*Two patients excluded: (1) 7 weeks elapsed since ChAdOx1-S

vaccine, and (2) dropout on day 0.

## Figure 1. Trial profile



Figure 2. a) RBD (anti-spike) antibody titres, and b) Trimeric S protein antibody titres, measured in both interventional (red) and control (blue) groups on days 0, 7 and 14 \* p<0.0001



2a)



Figure 3. a) Neutralizing antibodies measured in both interventional (red) and control (blue) groups on days 0 and 14. b) Correlation between Focus Reduction Neutralization Test 50 (FRNT50) and RBD (anti-spike) antibody titres

\* p<0·0001



3a)



29

3b)

Figure 4. IFN-gamma concentrations measured in both interventional (red) and control (blue)

# groups on days 0 and 14

\* p<0·0001





Figure 5. Solicited local and systemic adverse reactions in first 7 days after vaccination as recorded in participant symptom electronic diaries

This preprint research paper has not been peer reviewed. Electronic copy available at: https://ssrn.com/abstract=3854768













